

YALE LAW SCHOOL

July 23, 1946

Baker, Hostetler & Patterson  
Union Commerce Building  
Cleveland, Ohio

Gentlemen:

In connection with my work for the Yale Law Journal, I am presently engaged in research on the investment banking industry, using the recent N.A.S.D. case ( S.E.C. Release 3700) as a point of departure. If it is not too much trouble to you, I should like very much to obtain from you the briefs which your firm prepared for that case, together with any other information which might be relevant to the anti-trust arguments made therein by the Department of Justice.

I am particularly interested in what, if any, proposal was put forward by the Department of Justice as a suitable alternative for the present industry practices in regard to price-fixing and stabilization. Also, I should be interested in any possible effect which the N.A.S.D. anticipates as a result of the decision of the S.E.C. in the above case.

Thanking you for any assistance which you can give me in this matter, I remain,

very sincerely yours,

David F. Freeman

2527 Yale Station  
New Haven, Conn.