Comparison of average prices of transactions by sponsors with transactions by market makers and transactions by other broker-dealers, 51 selected stocks on Jan. 18, 1962 —Continued

		Total number of	Item ((a) ex- cem (b)) is less em (b)	Item (a)	
Item No.	Type of transaction	stocks in which com- pari- sons could be made	Num- ber of stocks	Percent of total	Num- ber of stocks	Percent of total	Num- ber of stocks	Percent of total
8(a)	Individuals' purchases from spon- sors as principal							
(b) 9(a)	vs. Individuals' purchases from other broker-dealers as principal Individuals' sales to sponsors as	8	4	50.0	3	37.5	1	12 . 5
(b)	principal vs. Individuals' sales to market makers as principal.	4	2	50.0	2	50.0		
10(a)	Individuals' sales to sponsors as principal vs.							
(b) 11(a)	Individuals' sales to other broker- dealers as principal————————————————————————————————————	5	2	40.0	3	60.0		
(b)	vs. Individuals' purchases through mar- ket makers as agent							
12(a)	Individuals' purchases through spon- sors as agent							
(b) 13(a)	Individuals' purchases through other broker-dealers as agent. Individuals' sales through sponsors as agent	2	1	50.0	1	50. 0		
(b) 14(a)	vs. Individuals' sales through market makers as agent Individuals' sales through sponsors							
(b)	as agent Vs. Individuals' sales through other broker-							
15(a)	dealers as agent Individuals' purchases from sponsors as principal and as agent, combined	4	1	25. 0	3	75. 0		
(b)	Individuals' purchases from market makers as principal and as agent, combined	. 8	3	37. 5	3	37. 5	2	25. 0
16(a)	Individuals' purchases from sponsors as principal and as agent, combined vs.						ł	
(b)	Individuals' purchases from other broker-dealers as principal and as agent, combined	11	6	54. 5	5	45. 5		
17(a)	Individuals' sales to sponsors as principal and as agent, combined vs.							
(b) 18(a)	Individuals' sales to market makers as principal and as agent, combined Individuals' sales to sponsors as		4	57.1	3	42.9		
(b)	principal and as agent, combined vs. Individuals' sales to other broker-	ĺ						
(~)	dealers as principal and as agent, combined	1 .	5	29. 4	12	70. 6	-,	-

 $^{^1}$ Includes all stocks in the sample of 200 stocks in which sponsors traded for their own account or for others on Jan. 18, 1962.

Note.—Comparisons are based on average prices for each stock. In all agency transactions, commissions have been added to the prichase price and dedreted from the sale price. "Other broker-dealers" refers to all broker-dealers who are not sponsors or market makers in the stock.

No. of 2-Way Quotes 1/18/62 High Bid 1/18/62 Low Offer 1/18/62 Industry			Location Code Asset Size (000) Date Offered				Shs. Outstandin No. of Stockhol- Concentration o	ders	
		SPONSORS			MARKET MAKE	RS		OTHERS	
	No. of Trans.	No. of Shares	Average Price (\$)	No. of Trans.	No. of Shares	Average Price (\$)	No. of Trans.	No. of Shares	Average Price (\$)
PURCHASES BY FIRM AS DEALER (Principal) a. From other broker-dealers b. From individuals c. From others									
d. Total									
SALES BY FIRM AS DEALER (Principal) a. To other broker-dealers b. To individuals c. To others									
d. Total									
TOTAL TRANSACTIONS AS DEALER									
PURCHASES BY FIRM AS BROKER (Agent)									
a. For other broker-dealers									
b. For individuals c. For others									
c. 1 of others									
d. Total				-					
SALES BY FIRM AS BROKER (Agent) a. For other broker-dealers b. For individuals c. For others									
d. Total									
TOTAL TRANSACTIONS AS BROKER TOTAL TRANSACTIONS									

Summary Form 2 OVER-THE-COUNTER PURCHASES AND SALES OF SPONSORS, MARKET MAKERS AND OTHERS

Jan. 18-Feb. 9, 1962 🔲

OTC-3

January 18, 1962 🔲

APPENDIX VII-H

Sponsors During the Market Break of May 1962

Questionnaire OTC-5 was designed to measure, among other things, the performance of sponsors during the period of the sharp market break in May 1962. Forty of the 200 securities originally chosen for analysis in OTC-3 were chosen for follow up. Twenty-two broker-dealers had previously stated that they were sponsors in 18 of these securities.

In the majority of cases, the sponsors' participation as buyers on balance in the market was minimal on May 28 and 29; in certain instances it was almost nonexistent, but in a few cases it was considerable on the latter day. The frequency distribution below summarizes the net purchases or sales effected by the 22 sponsors on May 28, 29, and 31, 1962.

Share balances	Nt	ımber of spons	ors
	May 28	May 29	May 31
Sale balance: Over 1,000	1 1 2 1 2 6 6 4 1 1 2 2	2 1 1 2 4 3 3 3 2 2 2	2 2 2 2 1 2 2 2 2 4 6
901 to 1,000 Over 1,000		1	
Total	22	22	22

¹ No trading as principal: 6 sponsors on May 28; 3 on May 29; and 6 on May 31.

In order to gauge the relative importance of these share balances, the following summary provides more detailed information on the activity of sponsors on the 3 days.

	May 28	May 29	May 31
Number of sponsors who sold on balance over 10 percent of their total daily transactions. Number of sponsors who bought on balance over 10 percent of their total daily transactions. Number of sponsors with no trading, with total trading less than 100 shares, or with less than a 10-percent change.	6 6 10	3 10 9	12
Total purchases on balance by sponsors (shares) Total sales on balance by sponsors (shares) Total sales by sponsors to other dealers and public for firm account (shares) Total purchases by sponsors from other dealers and public for firm account (shares) Total purchases by public from sponsors as principal (shares) Total sales by public to sponsors as principal (shares)	2, 312 10, 297 7, 985 4, 051 553	2,707 12,823 15,530 4,940 2,337	6, 774 10, 881 4, 107 3, 341 575

The basic data from which the two summaries above were made are shown below. Also shown for comparative purposes is the activity of the same sponsors from January 18 through 26, 1962, and for 6 additional days surrounding the market break period.

AMERICAN INSURANCE CO. (NEWARK)

:	Date	Total shares as princip	s purchased al from—	Total shares sold as agent	Total sh as princi		Total shares purchased
		Broker- dealer	Public	for public	Broker- dealer	Public	as agent for public
Jan	18	400	38			200	
a átte	19	22				200	
,	22	510	3, 500			3,775	
	23					240	
	24	250				250	
	25 26					260	
Мау	21	20			100	145	
	22				200		
	23						
	24		220		30		
	25 28				100 20		
	29		100		20		
;	31	,	200				100
June	1			60			
		1		l		1	1
		ANHI	EUSER-BUSC	H, INC. (SP	onsor'no.	1)	
Jan.	18	300			675		[
404	19	200	*************		350		
	22	50					
	23	200			122		
•	24	100			200		
-	26	100					
May	21				165		
-	22	50			700	435	
	23	220		100	383		
	24	290 200			65		
	28	500			230 1,038		
	29	1,300	10		1,120	25	
	31	310		300	430	10	
June	1	300			726		
		ANH	EUSER-BUSC	CH, INC. (SP	ONSOR NO.	2)	1
Jan.	18	1					
		200	 		200	1	
•	19	200 250			200 100		
	19 22	250 51			100		
; ;	19 22 23	250 51 545			100		
;,	19 22 23 24	250 51 545 135			100 315 200		
· ·,	19 22 23	250 51 545 135 150			315 200 60		
May	19	250 51 545 135 150 80 3			100 315 200		11
~	19	250 51 545 135 150 80 3 686			315 200 60 220 100 400	10	11
	19	250 51 545 135 150 80 3 686			100 315 200 60 220 100 400	10	11
~	19	250 51 545 135 150 80 3 686 1			100 315 200 60 220 100 400 100	10	11
~	19	250 51 545 135 150 80 3 686 1 105			100 315 200 60 220 100 400 100 100 647	10	11
~	19	250 51 545 135 150 80 3 686 1 105			100 315 200 60 220 100 400 100 100 647 105	10	
May	19	250 51 545 135 150 80 3 686 1 105 520 541 108			100 315 200 60 220 100 400 100 647 105 105	6	
~	19	250 51 545 135 150 80 3 686 1 105 520 541			100 315 200 60 220 100 400 100 100 647 105		
May	19	250 51 545 135 150 80 3 686 1 105 520 541 108	AQUA	A-CHEM, IN	100 315 200 60 220 100 400 100 647 105 100 275 312	6	
June	19	250 51 545 135 150 80 3 3 686 1 105 520 541 108 50 125	1	A-CHEM, IN	100 315 200 60 220 100 400 100 647 105 100 275 312	6 50	
May	19	250 51 545 135 150 80 3 686 1 105 520 541 108 50 125	50	A-CHEM, IN	100 315 200 60 220 100 400 100 647 105 100 275 312	6 50	
May	19	250 51 545 135 150 80 3 686 1 105 520 541 108 50 125	1	A-CHEM, IN	100 315 200 60 220 100 400 100 100 275 312 C. 350	6 50	
May	19	250 51 545 135 150 80 3 686 1 105 520 541 108 50 125	50	A-CHEM, IN	100 315 200 60 220 100 400 100 100 647 105 100 275 312 C. 350	170 102 477 145	
June	19	250 51 545 135 150 80 3 686 1 105 520 541 108 50 125	50 95 10	A-CHEM, IN	100 315 200 60 220 100 400 100 647 105 100 275 312 C. 350 12 100 40	6 50 170 102 477 145	
June	19	250 51 545 135 150 80 3 686 1 105 520 541 108 50 125	50 95	A-CHEM, IN	100 315 200 60 220 100 400 100 100 647 105 100 275 312 C. 350	170 102 477 145	1100

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AQUA-CHEM, INC.—Continued

Broker Call	Total shares	ares sold ipal to—		Total shares sold as agent	s purchased oal from—	Total share as princip	Date	
1,700	as agent for public	Public	Broker- dealer	for public	Public	Broker- dealer		
1,700		400				300	21	May
23. 200 300 375 285 291 100 2,500 243 60 600 223 100 310 1185 220 220 100 310 310 315 300 435 315 315 316	200	650	565			1,700	22	w.u.y
25.						200		
28. 500 100 310 185 195 195 31. 275 300 435 795 458 147 ***Property of the property of the								
100 310	20				2,500			
June 1	_		100		310			
Same			795		010			
Jan. 18.				435	300	1		June
19.	<u> </u>	· · · · · · · · · · · · · · · · · · ·	co.	OIL & GAS	AZTEC			
19.			900			407	10	
22.			200			****/		Juil.
23		2, 700				2.766		
24.		300				242		
May 26.		100				181	24	
May 21		400				368		
22. 808 100 100 350 24. 25. 200 200 200 200 28. 100 29. 1,532 300 300 890 June 1 100 100 200 200 200 Jan. 18. 19 22 155 23 24 25 24 25 23 24 25 150 28 29 300 200 22 200 200 22 200 200 22 200 200							26	.
23		100					7 21	мау
24		250	100			200		
258								
100 1,532 200 300 300 1,440 200			200					
Tan. 18			200			100		
CARLON PRODUCTS CORP.		890				1,532		
CARLON PRODUCTS CORP. Jan. 18			1,440					T
Jan. 18						100	1	June
155								Jan.
May 21						155		
25					**********			
May 26								
May 21						150		
22. 23. 24. 25. 100			90				26	N f a
23							99	
24								• ; ;
28								
29 300 400 400	500					100		
State Stat			500					
COASTAL STATES GAS PRODUCING CO.						300		ers (
Jan. 18. 400 150 200 500 19. 300 75 200 22. 75 200 24. 100 25. 100 May 21. 100			400					June
19 300 100 100 100 100 100 100 100 100 100	<u> </u>),	DUCING CO	ES GAS PRO	STAL STAT	COA		
19 300 100 100 100 100 100 100 100 100 100	1		000	<u> </u>	150		-	
23		000			150		18	Jan.
23			200		75	300		
24			200		10			
25					100			
May 21 10						100	25	
May 21							26	
100			10 100				X1	мау
22			1 150			1 400		
24			1, 100 579			1, 400		
25 253 580			580	253		2, 121		
. 28 100 360			360			100		
29 1,450 805 500		500	805			1,450		
31 300 200 385 500		500	385		200	300		
June 1	400	960	120	200		1, 100	1	une

CONTINENTAL ENTERPRISES, INC.

Date		Total share as princip	s purchased al from—	Total shares sold as agent	Total sh as princ	ares sold ipal to—	Total shares purchased	
		Broker- dealer	Public	for public	Broker- dealer	Public	as agent for public	
an	18	800			300			
	19	500			200			
:	22				500			
	23							
	24 25				200			
	26							
Aay								
- :	22							
	23				200			
	24							
	25 28							
	29	300			500			
	31	000			000			
une	1							
		CREATIV	E PLAYTHI	NGS, INC. (SPONSOR N	0. 1)		
an.	18.		100			100	l	
	19	50	100		20	15		
	22		100		400			
	23	50	200		200			
	24	25			66			
	25	50				50		
lay	21	30						
Laj	22							
	23							
	24	50						
	25	50	 					
	28	80		1		1		
	20	KOÓ						
	29	500			200			
une	29 31 1	500			200 20 50			
une	31	500	VE PLAYTH	INGS, INC.	20 50	JO. 2)		
	31	CREATI	VE PLAYTH	INGS, INC.	20 50	JO. 2)		
	31 1 18	CREATI 65 30	VE PLAYTH		20 50	NO. 2)		
	31	CREATI	VE PLAYTH		20 50	NO. 2)		
	31	CREATI 65 30	VE PLAYTH	200	20 50	NO. 2)		
	18	500 CREATI 65 30 200	VE PLAYTH		20 50	NO. 2)		
an.	18	CREATI 65 30 200	VE PLAYTH	200	20 50	VO. 2)		
an.	18	500 CREATI 65 30 200		200	20 50	JO. 2)		
an.	18	500 CREATI 65 30 200		200	20 50	NO. 2)		
an.	18	500 CREATI 65 30 200		200	20 50	NO. 2)		
an.	18	500 CREATI 65 30 200		200	20 50	NO. 2)		
an.	18	500 CREATI 65 30 200		200	20 50	NO. 2)		
an.	18	500 CREATI 65 30 200		200	20 50	NO. 2)		
an. May	18	CREATI 65 30 200 25		200	20 50	NO. 2)		
an.	18	CREATI 65 30 200 25		200	20 50	NO. 2)		
an. May	18	500 CREATI 65 30 200 25 25 400		200	20 50 (SPONSOR N			
an. May	18	500 CREATI 65 30 200 25 25 400		150	(SPONSOR N	O. 1)		
an.	18	CREATI 65 30 200 25 20 400 CUMM		150	(SPONSOR N	0. 1)		
an.	31	500 CREATI 65 30 200 25 25 400		150	200 50 (SPONSOR N	O. 1)		
an.	31	CREATI 65 30 200 25 400 CUMM 435 55 148	INS ENGINE	150	(SPONSOR N	0. 1)		
an. May	18	CREATI 65 30 200 25 400 CUMM 435 55	INS ENGINE	150	20 50 SPONSOR N 10 100 57	O. 1)		

CUMMINS ENGINE CO., INC. (SPONSOR NO. 1)-Continued

	Date	Total shares as princip		Total shares sold as agent	Total sh as princ	ares sold ipal to—	Total shares purchased
		Broker- dealer	Public	for public	Broker- dealer	Public	as agent fer public
Мау	21 22	154	100		20	200 19	
	23	150					
	24	165					
	25	919			3	1,020 1,546	
	28	520	167		311	1,546	
	29 31	547 211	65		125	100 124	
June		325			150	100	
		CUM	MINS ENGI	NE CO. (SPO	NSOR NO. 2	2)	·
Jan.	18]			
a corre	19			35			
	22						
	23						
	24						
	25						
3.5-	26						
Мау							
	22	100					
	24	100					
	25						
	28						
	29		37	100	37		
	31				200		
	1	1 50	126	1	68		1,1
June ——				PHOTO PRO).	
	1819	FOX-	STANLEY 1	PHOTO PRO		230	
	18 19 22	FOX- 500 500 120	STANLEY 100 300	PHOTO PRO	DUCTS, INC	230	
	18	FOX- 500 500 120 120 550	-STANLEY 1 100 300 25	PHOTO PRO	DUCTS, INC	230	
	18 19 22 23 24	FOX- 500 500 120 550 35	-STANLEY 1 100 300 25 1,100	РНОТО PRO	DUCTS, INC	230	
	18	FOX- 500 500 120 550 35 150	100 300 25 1,100 500	PHOTO PRO	DUCTS, INC	230	
Jan.	18	FOX- 500 500 120 550 35 150 230	-STANLEY 1 100 300 25 1,100	PHOTO PRO	DUCTS, INC	230	
Jan.	18	FOX- 500 500 120 550 35 150	100 300 25 1,100 500	PHOTO PRO	DUCTS, INC	230	
Jan.	18	500 500 120 550 35 150 230 300 1,500 70	100 300 25 1,100 500 30	PHOTO PRO	DUCTS, INC	230	
Jan.	18	FOX- 500 500 120 550 35 150 230 300 1,500 70 100	100 300 25 1,100 500 30	PHOTO PRO	DUCTS, INC	230	
Jan.	18	500 500 120 550 35 150 230 300 1,500 70 100 1,459	-STANLEY 1 100 300 25 1,100 500 30 100 150	PHOTO PRO	DUCTS, INC	230	
Jan.	18	500 500 120 550 355 150 230 300 1,500 70 100 1,459 300	100 300 25 1,100 500 30 100 1,100	PHOTO PRO	200 200 1,100	230	
Jan.	18	FOX- 500 500 120 550 35 150 230 300 1,500 70 100 1,459 300 500	100 300 25 1,100 500 150 1,100 1,100 1,100	PHOTO PRO	200 200 1,100	230	
	18	500 500 120 550 355 150 230 300 1,500 70 100 1,459 300	100 300 25 1,100 500 30 100 1,100	PHOTO PRO	200 200 1,100	230	
Jan. May	18	FOX- 500 500 120 550 35 150 230 300 1,500 70 100 1,459 300 600 250	100 300 25 1,100 500 30 1100 1,100 1,100 1,100 2,50 200	PHOTO PRO	200 200 1,100 	230	
Jan. May	18	FOX- 500 500 120 550 35 150 230 300 1,500 70 100 1,459 300 600 250	100 300 25 1,100 500 30 150 1,100 1,100 250 200		200 200 1,100 1,800 550 700	230	
Jan. May	18	FOX- 500 500 120 550 35 150 230 300 1,500 70 100 1,459 300 600 250	100 300 25 1,100 500 30 1100 1,100 1,100 1,100 2,50 200		200 200 1,100 	230	
Jan. May	18	FOX- 500 500 120 550 35 150 230 300 1,500 70 100 1,459 300 500 600 250	100 300 25 1,100 500 30 150 1,100 1,100 250 200		200 200 1,100 1,800 550 700	230	
Jan. May	18	FOX- 500 500 120 550 35 150 230 300 1,500 70 100 1,459 300 500 600 250	100 300 25 1,100 500 150 1,100 1,100 1,100 250 200 TERSTATE		200 200 1,100 1,800 550 700 UALTY CO.	230 50 250 700 1, 200	
Jan. May	18	FOX- 500 500 120 550 35 150 230 300 1,500 70 100 1,459 300 600 250	100 300 25 1,100 500 150 1,100 1,100 1,100 1,100 250 200 PERSTATE	FIRE & CAS	200 200 1,100 1,800 550 700 UALTY CO.	230 50 250 700 1, 200	
Jan. May	18	FOX- 500 500 120 550 35 150 230 300 1,500 70 100 1,459 300 500 600 250	100 300 25 1,100 300 150 1,100 1,100 1,100 250 200		200 200 1,100 1,800 550 700 UALTY CO.	230 50 50 250 700	
Jan. May June	18	FOX- 500 500 120 550 35 150 230 300 1,500 70 100 1,459 300 500 600 250	100 300 25 1,100 500 150 1,100 1,100 1,100 1,100 250 200 PERSTATE	FIRE & CAS	200 200 1,100 1,800 550 700 UALTY CO.	230 50 250 700 1, 200	
Jan. May June	18	FOX- 500 500 120 550 35 150 230 300 1,500 70 100 1,459 300 500 600 250	100 300 25 1,100 500 150 1,100 1,100 1,100 1,100 250 200 PERSTATE	FIRE & CAS	200 200 1,100 1,800 550 700 UALTY CO.	230 50 250 700 1, 200	
Jan. May June	18	FOX- 500 500 120 550 35 150 230 300 1,500 70 100 1,459 300 500 600 250	100 300 25 1,100 500 150 1,100 1,100 1,100 1,100 250 200 PERSTATE	FIRE & CAS	200 200 1,100 1,800 550 700 UALTY CO.	230 50 50 250 700 1,200 300 800	
Jan. May June	18	FOX- 500 500 120 550 35 150 230 300 1,500 70 100 1,459 300 500 600 250	100 300 25 1,100 500 150 1,100 1,100 1,100 1,100 250 200 PERSTATE	FIRE & CAS	200 200 1,100 1,800 550 700 UALTY CO.	230 50 250 700 1, 200	
Jan. May June	18	FOX- 500 500 120 550 35 150 230 300 1,500 70 100 1,459 300 500 600 250	100 300 25 1,100 500 150 1,100 1,100 1,100 1,100 250 200 PERSTATE	FIRE & CAS	200 200 1,100 1,800 550 700 UALTY CO.	230 50 50 250 700 1,200 300 800	
Jan. May June	18	FOX- 500 500 120 550 35 150 230 300 1,500 70 100 1,459 300 500 600 250 INTI	100 300 25 1,100 500 150 1,100 1,100 1,100 1,100 250 200 PERSTATE	FIRE & CAS	200 200 1,100 1,800 550 700 UALTY CO.	230 50 50 250 700 1,200 300 800	
Jan. May	18	FOX- 500 500 120 550 35 150 230 300 1,500 70 100 1,459 300 600 250 INTI	100 300 25 1,100 500 150 1,100 1,100 1,100 1,100 250 200 PERSTATE	FIRE & CAS	200 200 1,100 1,800 550 700 UALTY CO.	230 50 50 250 700 1,200 300 800	
Jan. May June	18	FOX- 500 500 120 550 35 150 230 300 1,500 70 100 1,459 300 500 600 250 INTI	100 300 25 1,100 500 150 1,100 1,100 1,100 1,100 250 200 PERSTATE	FIRE & CAS	200 200 1,100 1,800 550 700 UALTY CO.	230 50 50 250 700 1,200 300 800	

KOEHRING CO.

		Total shares purchased as principal from—		Total shares	Total sh as princ	Total shares purchased	
	Date	Broker- dealer	Public	sold as agent for public	Broker- dealer	Public	purchased as agent for public
Jan.	18	150					
	19 22		100		205		
	23	100	100		100		
	24	42					
	25				100	20	
Мау	26	100	30		285		
Way	22	200	30				
	23	100			350		
	24		90				
	25	100					
	28						950
	29 31				100		1, 200
June	1	225	306		100	200	200
		:	MADISON G	AS & ELECT	ric co.		
Jan.	18				200		
	19						
	22						
	23					100	
	24 25	30					
	26					7	
May	21				28	•	
	22			1,000			1,000
	23						
	24	150			95		
	25		12		100		
	28 29	100		200 84	50		200
	31			01	25		l
June	1					15	
			<u> </u>	<u> </u>	<u> </u>	l	t .
	18	IATIONAL P	ROPANE CO	ORP. 5 PERC	CENT 2D PR	EFERRED	A
	·	ATIONAL F	PROPANE CO	ORP. 5 PERO	CENT 2D PR	EFERRED	A. 1
	18	ATIONAL F	PROPANE CO	ORP. 5 PERC	CENT 2D PR	EFERRED .	A
	18	IATIONAL F	PROPANE CO	ORP. 5 PERC	CENT 2D PR	EFERRED	A
	18	ATIONAL F	PROPANE CO	ORP. 5 PERC	CENT 2D PR	EFERRED	A.
	18 19 22 23 24	NATIONAL P	ROPANE CO	ORP. 5 PERC	CENT 2D PR	EFERRED .	A
	18		ROPANE C	ORP. 5 PERC	CENT 2D PR	EFERRED .	A
	18	15	ROPANE C	ORP. 5 PERC	CENT 2D PR	EFERRED	A
Мау	18	15	ROPANE C	ORP. 5 PERC	CENT 2D PR	EFERRED	A
Мау	18	15	ROPANE CO	ORP. 5 PERC	CENT 2D PR	EFERRED	A
Мау	18	15	ROPANE CO	ORP. 5 PERC	CENT 2D PR	EFERRED	A
Мау	18	15	ROPANE C	ORP. 5 PERC	CENT 2D PR	EFERRED	A
Мау	18	15	ROPANE C	ORP. 5 PERC	CENT 2D PR	EFERRED	A
Мау	18	15	ROPANE C	ORP. 5 PERC	CENT 2D PR	EFERRED	A
Мау	18	15	ROPANE C	ORP. 5 PERC	CENT 2D PR	EFERRED	A
May	18	15	ROPANE C	ORP. 5 PERC	CENT 2D PR	EFERRED	A
May	18	20		MOUNTAIN			A
May June	18	20		MOUNTAIN	EXPRESS C		A
June Jan.	18	20		MOUNTAIN	EXPRESS C	O.	A
June	18	20		MOUNTAIN 2000 343	EXPRESS C		A
June	18	PACI		MOUNTAIN 200 343 400 100	EXPRESS C 350 1, 200 10 500	O.	A
June Jan.	18	20 PACI		MOUNTAIN 200 343 400	EXPRESS C	O.	A

PACIFIC INTERMOUNTAIN EXPRESS CO .-- Continued

		Total shares purchased as principal from—		Total shares	Total she		Total shares
	Date	Broker- dealer	Public	sold as agent for public	Broker- dealer	Public	as agent for public
May	21 22 23 24	50 225 400 500	110 100	140 100 20	51 100	5 200	100
June	25	335 625 2, 660 1, 370 400	450	50	40 1,460 700 1,200	140 90 1,080 970 125	75 200 100
	1		NE GAS SE	RVICE, INC	, i		
	10	40	19	4	249	30	· · · · · · · · · · · · · · · · · · ·
Jan.	18 19 22 23	35 50	447	**************************************	700 445 340	25	25 40
3.5	24 25 26	1,565 381 158	125 190	1 30	695 10	51 1,018 91	100
мау	21 22 23 24	100	50 100 30		860	20	
	25 28 29	190 175 925	286 30	100 653 38	1,738 1,025		10
June	31	416		200	915	10	
		PETROLA	NE GAS SE	RVICE, INC	. (SPONSOR	NO. 2)	
Jan.	19	PETROLA 29	NE GAS SE	RVICE, INC	25	NO. 2)	
Jan.	19 22 23 24 25	29	NE GAS SE	RVICE, INC	25 530 100 300 100	150 100 45	
	19	29 	125	RVICE, INC	25 530 100 300	150 100	
	19	100 300 625 1,059 3,616	125	RVICE, INC	25 530 100 300 100 1,00 1,020 500	150 100 45 60 1,200	
	19	29 600 600 100 300 625 1,059	927 209		25 530 100 300 100 1,000 1,020 500	150 100 45 60 1, 200	
May	19	100 300 625 1,059 3,616 918	125 927 209		25 530 100 300 150 1,020 500 1,000 2,000 700 1,020	150 100 45 60 1,200	
May	19	100 300 625 1,059 3,616 918	125 927 209 100	ET BOOKS, I	25 530 100 300 100 1,000 1,020 1,000 2,000 700 1,020	150 100 45 60 1,200	
June	19	600 600 100 300 625 1,059 3,616 918	125 927 209	ET BOOKS, I	25 530 100 300 100 1,020 500 1,020 2,000 700 1,020	150 100 45 60 1,200	50
June	19	29	125 927 209 100	250 250 300	25 530 100 300 1,00 1,020 1,020 2,000 700 1,020	150 100 45 60 1,200	200 15(
June	19	29	125 927 209 100 POCKE	250	25 530 100 300 100 1,020 500 1,020 700 1,020	150 100 45 60 1,200	100 50 100 150 150 150

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Over-the-counter transactions by 22 sponsors in selected stocks, Jan. 18-26, 1962, and May 21-June 1, 1962—Continued

SOUTHERN NEW ENGLAND TELEPHONE CO.

Date	Total share as princip	res purchased ipal from—	Total shares sold as agent	Total sh as princi	Total shares purchased	
	Broker- dealer	Public	for public	Broker- dealer	Public	as agent for public
Jan. 18	7	10 1 834 119		650 2 115		
24 25 26	3	9		150	2	
May 21	100 222	5 12		100 66	6	
24 25 28	187 442 175	16		200 10	50 4 35	
31 June 1	1, 543	300 60		460	700 573	