

Rec: 9/6

MEMORANDUM

August 19, 1983

TO : Dan Goelzer
FROM: Chairman Shad 
RE : SIA August 3 Recommendations to the Task Group on
Regulation of Financial Services

You have previously received a copy of the SIA's letter of August 3 to the Task Group on Regulation of Financial Services.

Based on a single reading of these recommendations, they strike me as imminently reasonable and within the objectives of the Task Group.

Would you please provide me with a memorandum by September 6 which separates these recommendations between those which require legislation and those which the Commission has the authority to implement; the advantages and disadvantages of each; and the concluding recommendation.