

AGENDA
NASAA - SEC MEETING
555 New Jersey Avenue, Washington, D.C.
December 8, 1988

- 1:30 Overview of the goals of the SEC Market Manipulation Task Force
- 1:45 NASAA's proposed statement on fraudulent and unethical sales practices
- 2:00 Summary of SEC rule proposals under study
- 2:40 Information sharing concerning investigations and complaints
- 3:00 State, Federal and SRO cooperation: The Florida Penny Stock Task Force
- 3:15 Public education campaign
- 3:30 Conclusion

PARTICIPANTS

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