

## **Chairman's Award for Excellence**

“This award is given to members of the Commission’s staff whose special accomplishments produced significant improvements in the agency’s programs or management.” (1995)

### **1990**

Michael D. Mann  
Phillip D. Parker

### **1991**

James R. Doty  
Barbara J. Green  
Colleen P. Mahoney  
Mary E. Keefe  
James M. McConnell

### **1992**

Kathryn Fulton  
William R. McLucas  
Richard H. Walker  
Marianne K. Smythe

### **1993**

Carrie E. Dwyer  
John D. Heine  
Walter P. Schuetze  
William C. Weeden

### **1994**

Participants of the Municipal Securities Disclosure Program

### **1995**

Michael E. Schlein  
The Internet Team

### **1996**

The NASDAQ Team

### **1997**

Nancy Smith and the Office of Investor Education and Assistance

### **1998**

Richard R. Lindsey and the Alternative Trading Systems Team  
Lori A. Richards and the SRO Inspection Group

### **1999**

Harvey J. Goldschmid  
Jennifer A. Scardino  
Lynn E. Turner

### **2000**

Auditor Independence Team

### **2001**

September 11, 2001 Members of the Northeast Regional Office and Jayne L. Seidman

**2002**

Wayne M. Carlin

Division of Enforcement for Real Time Enforcement

**2003**

The Enron Team

**2004**

Paul F. Roye

The Mutual Fund Reform Team

**2005**

The CCO Outreach Program

**2006**

Seniors Initiative Team

**2007**

Office of International Affairs