
SEC Historical Society

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Sent: Tuesday, October 30, 2001 10:57 AM
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Subject: SEC Issues Conference

To Historical Society Trustees

Following the meeting on Monday, I spoke with Harvey, who strongly urged that the conference be held in any event. He noted that the subjects were timely now and would not be timely in the future, that excellent papers had been prepared, that significant speakers had agreed to participate in the conference, and that the result of cancellation would be negative for the SEC and the Society.

Under the circumstances I believe the conference should go forward, and those Trustees I have consulted agree. Regarding attendance, Harvey indicated that ample SEC staff would attend and that the SEC would assist to the degree permitted by ethics rules in encouraging attendance. We are looking at the financial side and believe that we should drop the price to a much lower figure, provide volume discounts, and permit transfers of registrations.

You should assume that the conference will take place. I hope that you will help in encouraging attendance. At a lower price this conference will offer a wonderful opportunity for associates and younger partners to mingle with the SEC staff and, of course, the conference will be very important for all who attend.

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