

RJ MURPHY EXECUTIONS, INC.  
 MEMBER NEW YORK STOCK EXCHANGE  
 30 BROAD STREET, 34<sup>TH</sup> FLOOR  
 NEW YORK, NY 10004  
 212-269-2032  
 FAX 212-269-2037

December 5, 2001

By Fax

Mr. Mark Attar  
 Securities and Exchange Commission  
 Washington D C , 20549

Public Avail. Date: 12/19/01 0107200206  
 Act Section Rule  
 1934 17(a) 17a-5

Re R.J. Murphy Executions, Inc

Dear Mr. Attar

With respect to the filing of RJ Murphy Executions, Inc final focus, I had requested an additional extension to file the focus on Wednesday, November 28, 2001. The reason for this request is due to a software problem with our accountant's computer. As of the writing of this letter, a physical copy of our final focus has been submitted to Warren Levine at the New York Stock Exchange on December 3<sup>rd</sup> 2001. The reason for the delay in this submission is simply the fact that I fractured my ankle on November 27<sup>th</sup> and was not available to review the final filing.

Additionally, the electronic version has still not been submitted. The reason for this delay continues to be a system problem. I have informed Mr. Levine of the present situation. Furthermore, I have advised Mr. Levine to contact Mr. Lou Perilla at SIAC, as Lou is aware of our problem and is trying to correct it.

Please be advised, that

1- RJ Murphy Executions, Inc is not in violation of the applicable requirements specified in Rules 15c3-1 and 15c3-3 under the Securities Exchange Act of 1934,

2-The firm is not experiencing any significant financial, operational or record keeping problems, and

3-That the firm is in compliance with the other applicable rules of the Commission and each self-regulatory organization of which it is a member.

4-The event and date that triggered the requirement to file the Final FOCUS is that RJ Murphy Executions has terminated its membership with the New York Stock Exchange, and in fact has ceased doing business as of October 26<sup>th</sup> 2001

Thank you, for your attention to this matter.

Very truly yours,

A handwritten signature in black ink, appearing to read "Chris Mattei", with a long horizontal flourish extending to the right.

Christopher S Mattei  
CFO  
RJ Murphy Executions, Inc

Enc.



DIVISION OF  
MARKET REGULATION

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON D.C. 20549

00038

December 19, 2001

Mr. Christopher S. Mattei  
Chief Financial Officer  
R.J. Murphy Executions, Inc.  
30 Broad Street, 34<sup>th</sup> Floor  
New York, NY 10004

Act	Securities Exchange Act of 1934
Section	17
Rule	17a-5
Public Availability	December 19, 2001

Re: Request for Form X-17A-5 Filing Extension

Dear Mr. Matter:

This is in response to your letter dated December 5, 2001, in which you request on behalf of R.J. Murphy Executions, Inc ("Firm") an extension for filing Part IIA of Form X-17A-5 ("Final FOCUS") as required pursuant to Rule 17a-5(b) ("Rule") under the Securities Exchange Act of 1934 ("Exchange Act"). Subparagraph (b)(1) of the Rule requires a broker-dealer that ceases to be a member in good standing with a national securities exchange or registered national securities association to file with the Securities and Exchange Commission ("Commission"), within two business days after the membership terminates, a Final FOCUS dated as of the date such membership terminates.

I understand the following facts to be pertinent to the Firm's request. The Firm's membership with the New York Stock Exchange, Inc. terminated on October 26, 2001. Therefore, pursuant to subparagraph (b)(1) of the Rule, the Firm was required to file a Final FOCUS by October 30, 2001. Among other things, you have represented that the Firm: (i) is not in violation of the applicable requirements specified in Rules 15c3-1 and 15c3-3 under the Exchange Act; (ii) is not experiencing any significant financial, operational or recordkeeping problems; and (iii) is in compliance with the other applicable rules of the Commission and each self-regulatory organization of which it is a member.

Based on the foregoing facts and representations, the Division of Market Regulation ("Division") will not recommend enforcement action to the Commission if the Firm filed its Final FOCUS, as required pursuant to subparagraph (b)(1) of the Rule, by December 5, 2001.

Public Reference Copy

Mr. Christopher S. Mattei  
December 19, 2001  
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Please note, however, that a broker-dealer also must file Form BDW with the Commission when withdrawing fully or partially from the securities business. Form BDW requires that the broker-dealer attach a Form X-17A-5 which reflects an as of date no earlier than 10 days prior to the filing of the BDW. The no-action position taken by the Division in this letter does not affect the requirement, when applicable, to file a Form X-17A-5 when filing its Form BDW.

You should understand that the Division's position is confined to the facts as described herein. Any material change in circumstances may warrant a different conclusion and should be brought immediately to the Division's attention.

Sincerely,



Mark M. Attar  
Special Counsel

cc: Mr. Warren Levine, New York Stock Exchange, Inc.