LETTER FROM THE CHAIRMAN

Dear Friends:

On behalf of the trustees of the Securities and Exchange Commission Historical Society, I am pleased to share with you the 2006 Annual Report of the Society. This Annual Report includes the audited financial statements of our activities, as well as a complete list of donors to the Society in 2006.

As John Ramsay, the Society’s President, noted in the Highlights of 2006, this has been a banner year in the development of our virtual museum and archive of SEC and securities history at www.sechistorical.org. It has also been a significant year for the Board in the careful stewardship of the gifts and grants received from so many generous donors. My fellow trustees and I are grateful for the sustained support of the many individuals and institutions acknowledged in this Annual Report.

The Board of Trustees was able to continue devoting more than 70% of the budget in 2006 to the growth of the virtual museum and to its outreach to thousands of visitors. In addition, we established a Board Designated Endowment Fund this year, to help build a permanent stream of income as the museum prepares for its 5th anniversary on June 1, 2007.

On a personal note, it has been my pleasure to serve as a volunteer leader of the Society – first as a founding trustee, then as Secretary-Treasurer, Treasurer, President and now Chairman – since 2000. While I will continue on the Board of Trustees, and John Ramsay will succeed me as Chairman in June, we welcome a new generation of leaders who will guide the work of the Society in preserving and sharing SEC and securities history for generations to come. My confidence in the future of the Society has never been greater. We invite you to continue with us in this important work.

Sincerely,

Robert J. Kueppers
Chairman

ON THE COVER: William Green, the first Regional Administrator for the SEC Atlanta Regional Office, in his office at the 415 Palmer Building, corner of Marietta and Forsyth Streets in Atlanta, in July 1935. Mr. Green served as Regional Administrator until 1968. (photo and information courtesy of Frances Green Oliver)

2006 Annual Report


Carla L. Rosati, CFRE, Editor
Donald Norwood Design, Design and Publication
Photos and Images from the Virtual Museum and Archive at www.sechistorical.org

Securities and Exchange Commission Historical Society

The Securities and Exchange Commission Historical Society, a 501(c)(3) non-profit organization, independent of and separate from the U.S. Securities and Exchange Commission, preserves and shares SEC and securities history through its virtual museum and archive at www.sechistorical.org. The virtual museum and archive makes broadly available primary materials on the impact that the SEC has had on the growth of U.S. and international capital markets since its inception.

Board of Trustees

James W. Barratt, Vice President
W. Scott Bayless
David M. Becker
Mark Berman
Donald L. Calvin
Stacy P. Chittick
Susan S. Coffey
Margaret M. Foran
Sheldon I. Goldfarb
John J. Huber
Herbert F. Janick III, President-Elect
Richard G. Ketchum
Robert J. Kueppers, Chairman
Carmen J. Lawrence, Secretary
Theodore A. Levine
Colleen P. Mahoney
David B.H. Martin, Jr., Treasurer
George C. McKann
John M. Ramsay, President
Thomas L. Riesenberg
Richard H. Rowe, Vice President
Mary L. Schapiro
Richard H. Walker
Elisse B. Walter

Administration

Carla L. Rosati, CFRE, Executive Director
Nita Green, Office Manager – Bookkeeper
1101 Pennsylvania Avenue NW, Suite 600
Washington, D.C. 20004
202-756-5015
202-756-5014 (fax)
www.sechistorical.org
Independent Auditors’ Report

To the Board of Trustees
Securities and Exchange
Commission Historical Society
Washington, D.C.

We have audited the accompanying statement of financial position of the Securities and Exchange Commission Historical Society as of December 31, 2006, and the related statements of activities and change in net assets, functional expenses and cash flows for the year then ended. These financial statements are the responsibility of the Securities and Exchange Commission Historical Society’s management. Our responsibility is to express an opinion on these financial statements based on our audit. The prior year summarized comparative information has been derived from the organization’s 2005 financial statements, and, in our report dated February 1, 2006, we expressed an unqualified opinion on those statements.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Securities and Exchange Commission Historical Society as of December 31, 2006, and its change in net assets and its cash flows for the year then ended in conformity with accounting principles generally accepted in the United States of America.

Gelman Rosenberg & Freedman
January 24, 2007

Financial Statements
Securities and Exchange Commission Historical Society

FOR THE YEAR ENDED DECEMBER 31, 2006

Statement of Financial Position
as of December 31, 2006 with summarized financial information for 2005

<table>
<thead>
<tr>
<th>ASSETS</th>
<th>2006</th>
<th>2005</th>
</tr>
</thead>
<tbody>
<tr>
<td>CURRENT ASSETS</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cash and cash equivalents</td>
<td>$337,823</td>
<td>$685,745</td>
</tr>
<tr>
<td>Investments (Note 4)</td>
<td>495,908</td>
<td>—</td>
</tr>
<tr>
<td>Contributions receivable</td>
<td>30,335</td>
<td>36,500</td>
</tr>
<tr>
<td>Prepaid expenses</td>
<td>55,790</td>
<td>27,387</td>
</tr>
<tr>
<td>Total current assets</td>
<td>919,856</td>
<td>749,632</td>
</tr>
<tr>
<td>EQUIPMENT AND SOFTWARE</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Equipment</td>
<td>23,339</td>
<td>23,339</td>
</tr>
<tr>
<td>Computer software</td>
<td>2,990</td>
<td>2,990</td>
</tr>
<tr>
<td>Computer equipment</td>
<td>14,743</td>
<td>14,743</td>
</tr>
<tr>
<td>Less: Accumulated depreciation and amortization</td>
<td>(35,765)</td>
<td>(28,149)</td>
</tr>
<tr>
<td>Net equipment and software</td>
<td>5,307</td>
<td>12,923</td>
</tr>
<tr>
<td>OTHER ASSETS</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Virtual museum and archive collections (Note 6)</td>
<td>355,490</td>
<td>246,669</td>
</tr>
<tr>
<td>Donated artwork</td>
<td>25,000</td>
<td>25,000</td>
</tr>
<tr>
<td>Security deposit (Note 5)</td>
<td>7,000</td>
<td>7,000</td>
</tr>
<tr>
<td>Total other assets</td>
<td>387,490</td>
<td>278,669</td>
</tr>
<tr>
<td>TOTAL ASSETS</td>
<td>$1,312,653</td>
<td>$1,041,224</td>
</tr>
</tbody>
</table>

| LIABILITIES AND NET ASSETS |       |       |
| CURRENT LIABILITIES |       |       |
| Accounts payable and accrued liabilities | $6,387 | $3,010 |
| Total current liabilities | 6,387 | 3,010 |

| NET ASSETS |       |       |
| Unrestricted: |       |       |
| Undesignated | 800,338 | 995,214 |
| Board designated endowment fund (Note 8) | 495,908 | — |
| Total unrestricted net assets | 1,296,266 | 995,214 |
| Temporarily restricted (Note 2) | 10,000 | 43,000 |
| Total net assets | 1,306,266 | 1,038,214 |
| TOTAL LIABILITIES AND NET ASSETS | $1,312,653 | $1,041,224 |
Statement of Activities and Change in Net Assets
For the year ended December 31, 2006 with summarized financial information for 2005

<table>
<thead>
<tr>
<th></th>
<th>2006</th>
<th>2005</th>
<th>UNRESTRICTED</th>
<th>TEMPORARILY UNRESTRICTED</th>
<th>TOTAL</th>
<th>TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>REVENUE</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Contributions</td>
<td>$645,904</td>
<td>$83,500</td>
<td>$729,404</td>
<td>$671,975</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Interest income</td>
<td>25,169</td>
<td>—</td>
<td>25,169</td>
<td>7,056</td>
<td></td>
<td></td>
</tr>
<tr>
<td>In-kind contribution</td>
<td>—</td>
<td>—</td>
<td>—</td>
<td>30,500</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Net assets released from donor restrictions (Note 3)</td>
<td>116,500</td>
<td>(116,500)</td>
<td>—</td>
<td>—</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total revenue</strong></td>
<td>787,573</td>
<td>(33,000)</td>
<td>754,573</td>
<td>709,531</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>EXPENSES</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program services:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Partnership</td>
<td>—</td>
<td>—</td>
<td>—</td>
<td>9,756</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Virtual Museum</td>
<td>345,781</td>
<td>—</td>
<td>345,781</td>
<td>349,623</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total program services</strong></td>
<td>345,781</td>
<td>—</td>
<td>345,781</td>
<td>359,379</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Supporting services:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>General and Administrative</td>
<td>17,444</td>
<td>—</td>
<td>17,444</td>
<td>33,968</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Development and Fundraising</td>
<td>123,296</td>
<td>—</td>
<td>123,296</td>
<td>113,886</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total supporting services</strong></td>
<td>140,740</td>
<td>—</td>
<td>140,740</td>
<td>147,854</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total expenses</strong></td>
<td>486,521</td>
<td>—</td>
<td>486,521</td>
<td>507,233</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Change in net assets</td>
<td>301,052</td>
<td>(33,000)</td>
<td>268,052</td>
<td>202,298</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Net assets at beginning of year</td>
<td>995,214</td>
<td>43,000</td>
<td>1,038,214</td>
<td>835,916</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>NET ASSETS AT END OF YEAR</strong></td>
<td>$1,296,266</td>
<td>$10,000</td>
<td>$1,306,266</td>
<td>$1,038,214</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES AND GENERAL INFORMATION

**Organization**
The Securities and Exchange Commission Historical Society (the Society) is a 501(c)(3) nonprofit organization incorporated in the District of Columbia to preserve and share the history and historic records of the U.S. Securities and Exchange Commission and of the securities industry. Significant accounting policies followed by the Society are presented below.

This Organization operated the following program in 2006:

**Virtual Museum:** The virtual museum at www.sechistorical.org preserves and shares the history and historic records of the U.S. Securities and Exchange Commission and of the securities industry. The museum offers a collection of primary materials, including papers, photos and oral histories; interviews; provides links to other Web sites with significant securities collections; and broadcasts and archives original programs on securities issues. The museum is available free and worldwide 24/7.

**Basis of presentation**
The accompanying financial statements are presented on the accrual basis of accounting, and in accordance with Statement of Financial Accounting Standards No. 117, “Financial Statements of Not-for-Profit Organizations.” The Society is required to report information regarding its financial position and activities according to two classes of net assets: unrestricted net assets and temporarily restricted net assets.

The financial statements include certain prior-year summarized comparative information in total but not by net asset class. Such information does not include sufficient detail to constitute a presentation in conformity with generally accepted accounting principles. Accordingly, such information should be read in conjunction with the Society’s financial statements for the year ended December 31, 2005, from which the summarized information was derived.
Cash and cash equivalents
The Securities and Exchange Commission Historical Society considers all cash and other highly liquid investments with initial maturities of three months or less to be cash equivalents. At times during the year, the Securities and Exchange Commission Historical Society maintains cash balances at financial institutions in excess of the Federal Deposit Insurance Corporation (FDIC) limits. Management believes the risk in these situations to be minimal.

Equipment and software
Equipment and software which have been purchased are stated at cost. Donated equipment and software are valued at their appraised value. Equipment and software are depreciated using the straight-line method. Provisions for depreciation and amortization are based on estimated useful lives of three years for software and web development costs, five years for computer equipment and seven years for all other equipment. The cost of maintenance and repairs is recorded as expenses are incurred.

Income taxes
The Securities and Exchange Commission Historical Society is exempt from federal income taxes under Section 501(c)(3) of the Internal Revenue Code. Accordingly, no provision for income taxes has been made in the accompanying financial statements. The Securities and Exchange Commission Historical Society is not a private foundation.

Net asset classification -
The net assets are reported in two self-balancing groups as follows:
• Unrestricted net assets include unrestricted revenue and contributions received without donor-imposed restrictions. These net assets are available for the operation of the Securities and Exchange Commission Historical Society.
• Temporarily restricted net assets include revenue and contributions subject to donor-imposed stipulations that will be met by the actions of the Securities and Exchange Commission Historical Society and/or the passage of

Statement of Functional Expenses
For the year ended December 31, 2006 with summarized financial information for 2005

Supporting Services

<table>
<thead>
<tr>
<th></th>
<th>VIRTUAL MUSEUM</th>
<th>GENERAL AND ADMINISTRATIVE</th>
<th>DEVELOPMENT AND FUNDRAISING</th>
<th>2006 TOTAL EXPENSES</th>
<th>2005 TOTAL EXPENSES</th>
</tr>
</thead>
<tbody>
<tr>
<td>Salaries</td>
<td>$ 104,676</td>
<td>$ 24,424</td>
<td>$ 45,359</td>
<td>$ 174,459</td>
<td>$ 159,901</td>
</tr>
<tr>
<td>Benefits (Note 7)</td>
<td>33,242</td>
<td>7,757</td>
<td>14,405</td>
<td>55,404</td>
<td>44,625</td>
</tr>
<tr>
<td>Printing and production</td>
<td>29,180</td>
<td>818</td>
<td>17,356</td>
<td>47,354</td>
<td>48,536</td>
</tr>
<tr>
<td>Professional fees</td>
<td>46,460</td>
<td>10,411</td>
<td>--</td>
<td>56,871</td>
<td>75,569</td>
</tr>
<tr>
<td>Occupancy</td>
<td>--</td>
<td>53,230</td>
<td>--</td>
<td>53,230</td>
<td>55,369</td>
</tr>
<tr>
<td>Depreciation and amortization</td>
<td>--</td>
<td>7,616</td>
<td>--</td>
<td>7,616</td>
<td>8,195</td>
</tr>
<tr>
<td>Telephone</td>
<td>3,109</td>
<td>5,347</td>
<td>2068</td>
<td>10,524</td>
<td>11,486</td>
</tr>
<tr>
<td>Travel</td>
<td>10,899</td>
<td>804</td>
<td>38</td>
<td>11,715</td>
<td>8,333</td>
</tr>
<tr>
<td>Postage and delivery</td>
<td>7,949</td>
<td>4,109</td>
<td>1,120</td>
<td>13,606</td>
<td>19,290</td>
</tr>
<tr>
<td>Repairs and maintenance</td>
<td>152</td>
<td>152</td>
<td>--</td>
<td>152</td>
<td>200</td>
</tr>
<tr>
<td>Supplies</td>
<td>1,287</td>
<td>308</td>
<td>2,332</td>
<td>5,927</td>
<td>2,951</td>
</tr>
<tr>
<td>Events and meetings</td>
<td>15,278</td>
<td>38</td>
<td>15,746</td>
<td>11,076</td>
<td></td>
</tr>
<tr>
<td>Museum maintenance</td>
<td>18,180</td>
<td>219</td>
<td>18,399</td>
<td>17,042</td>
<td></td>
</tr>
<tr>
<td>Legal</td>
<td>--</td>
<td>--</td>
<td>--</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>Research</td>
<td>330</td>
<td>1,120</td>
<td>1,450</td>
<td>6,043</td>
<td></td>
</tr>
<tr>
<td>Other</td>
<td>--</td>
<td>12,549</td>
<td>5,114</td>
<td>17,663</td>
<td>11,117</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>271,020</td>
<td>124,601</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>90,900</td>
<td>486,521</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>486,521</td>
<td>507,233</td>
</tr>
<tr>
<td></td>
<td>74,761</td>
<td>(107,157)</td>
<td>32,396</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>TOTAL</td>
<td>$ 345,781</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>$ 17,444</td>
<td>$ 123,296</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>$ 486,521</td>
<td>$ 507,233</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>$ 307,233</td>
<td></td>
</tr>
</tbody>
</table>

Statement of Cash Flows
For the year ended December 31, 2006 with summarized financial information for 2005

CASH FLOWS FROM OPERATING EXPENSES

<table>
<thead>
<tr>
<th></th>
<th>2006</th>
<th>2005</th>
</tr>
</thead>
<tbody>
<tr>
<td>Change in net assets</td>
<td>$ 268,052</td>
<td>$ 202,298</td>
</tr>
<tr>
<td>Adjustments to reconcile change in net assets to net cash provided by operating activities:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Depreciation and amortization</td>
<td>7,616</td>
<td>8,195</td>
</tr>
<tr>
<td>Net realized loss on investments</td>
<td>4,094</td>
<td>--</td>
</tr>
<tr>
<td>(Increase) decrease in:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Contributions receivable</td>
<td>6,165</td>
<td>16,000</td>
</tr>
<tr>
<td>Prepaid expenses</td>
<td>(28,403)</td>
<td>(9,887)</td>
</tr>
<tr>
<td>Increase (decrease) in:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Accounts payable and accrued liabilities</td>
<td>3,375</td>
<td>(3,310)</td>
</tr>
<tr>
<td>Net cash provided by operating activities</td>
<td>260,899</td>
<td>208,296</td>
</tr>
</tbody>
</table>

CASH FLOWS FROM INVESTING ACTIVITIES

<table>
<thead>
<tr>
<th></th>
<th>2006</th>
<th>2005</th>
</tr>
</thead>
<tbody>
<tr>
<td>Purchase of investments</td>
<td>(500,000)</td>
<td>--</td>
</tr>
<tr>
<td>Accession of Virtual Museum collections</td>
<td>(108,821)</td>
<td>(85,442)</td>
</tr>
<tr>
<td>Net cash used by investing activities</td>
<td>(608,821)</td>
<td>(85,442)</td>
</tr>
<tr>
<td>Net increase (decrease) in cash and cash equivalents</td>
<td>124,852</td>
<td>122,854</td>
</tr>
<tr>
<td>Cash and cash equivalents at beginning of year</td>
<td>685,745</td>
<td>562,891</td>
</tr>
<tr>
<td>CASH AND CASH EQUIVALENTS AT END OF YEAR</td>
<td>$ 337,823</td>
<td>$ 685,745</td>
</tr>
</tbody>
</table>
time. When a restriction expires, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the Statement of Activities and Change in Net Assets as net assets released from restrictions.

Contributions
Contributions are recorded as revenue when received from the donor. Donor-restricted contributions are reported as increases in temporarily restricted net assets depending on the nature of the restrictions. When a restriction expires, temporarily restricted net assets are reclassified to unrestricted net assets. Contributions received in excess of expenses incurred are shown as temporarily restricted net assets in the accompanying financial statements.

Use of estimates
The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the reporting period. Accordingly, actual results could differ from those estimates.

Functional allocation of expenses
The costs of providing the various programs and other activities have been summarized on a functional basis in the Statement of Activities and Change in Net Assets. Accordingly, certain costs have been allocated among the programs and supporting services benefited. During 2006, Society staff maintained detailed timesheets and the Society allocated general and administrative expenses based upon the time reported under each functional area.

2. TEMPORARILY RESTRICTED NET ASSETS
Temporarily restricted net assets consisted of the following at December 31, 2006:

| Best of NERA 2007 | $ 10,000 |

3. NET ASSETS RELEASED FROM RESTRICTIONS
The following temporarily restricted net assets were released from donor restrictions by incurring expenses which satisfied the restricted purposes specified by the donors:

| Society Programs | $116,500 |

4. INVESTMENTS
Investments consisted of the following at December 31, 2006:

<table>
<thead>
<tr>
<th>MARKET VALUE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Certificates of Deposit</td>
</tr>
</tbody>
</table>

5. LEASE COMMITMENT
The Securities and Exchange Commission Historical Society entered into a 36-month lease that began on September 30, 2004 with a base rent of $3,800 per month. A security deposit in the amount of $7,000 was paid and recorded as an other asset. The office lease agreement contains an escalation clause in the base rent. It also requires the Securities and Exchange Commission Historical Society to pay a prorate share of operating expenses and any increase in real estate taxes and assessments over amounts stipulated in the lease agreement. Minimum future payments under the lease commitment are as follows:

<table>
<thead>
<tr>
<th>YEAR ENDED DECEMBER 31, 2007</th>
</tr>
</thead>
<tbody>
<tr>
<td>$34,200</td>
</tr>
</tbody>
</table>

6. VIRTUAL MUSEUM AND ARCHIVE COLLECTIONS
The Securities and Exchange Commission Historical Society has capitalized the collections of the virtual museum and archive since the museum’s inception in June 2002. The Society does not purchase any materials for the collections. All materials are either lent or donated to the Society for inclusion in the collections, and are returned, retained or disposed at the instructions of the donor. The costs of the collections are the costs of accessioning the materials for inclusion and preservation in the virtual museum and archive, including digitization of oral histories and online programs, scanning of visual images, and scanning or transcription of papers. At the direction of the Museum Committee, the Society deaccessioned three secondary papers in November 2006. As of December 31, 2006, the costs of building the collections of the virtual museum and archive totaled $355,490.

7. SAVINGS PLAN
The Securities and Exchange Commission Historical Society maintains a 403(b) Savings Plan (the Plan) for its employees. The Plan provides for employee contributions. The Securities and Exchange Commission Historical Society matches up to 100 percent of employee contributions. Employees are 100 percent vested upon entry into the Plan. For the year ended December 31, 2006, contributions to the Plan were $20,000.

8. BOARD DESIGNATED NET ASSETS
The Board of Trustees of the Society has established a $500,000 endowment fund to assure continuation of the Society. The value of this fund at December 31, 2006 was $495,908.
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The virtual museum and archive has to date accessioned hundreds of papers from the estate of former SEC Commissioner John R. Evans, including speeches and testimony given by Commissioner Evans and other SEC Chairmen and Commissioners, letters from and to Commissioner Evans, and a variety of materials relating to the work of the SEC in the 1970s and 1980s. The accession of these papers is being made possible through the support of Quinton F. Seamon. Commissioner Evans had the second-longest tenure on the Commission, serving from 1973 to 1983. When he retired from the SEC in 1983, he received letters of appreciation from many current and former SEC staff members, including this note from Harry Pollack.
William Green stands third from right in the back row of this photo of the October 1964 SEC Regional Administrators’ Conference. The SEC Commission and other attending Regional Administrators are identified at the bottom. During his 33-year tenure as Regional Administrator for the SEC Atlanta Regional Office, Mr. Green served under 5 U.S. Presidents and 15 SEC Chairmen (photo courtesy of Government Records).