

April 11, 1984

Mr. Daniel L. Goelzer  
General Counsel  
Securities and Exchange Commission  
Washington, D.C. 20549

Dear Mr. Goelzer:

I am happy to be invited to a reunion of the General Counsel's office, and shall be glad to attend. As you probably know, I have been invited to speak at the last session of the Major Issues Conference immediately before.

I may be the wrong person to ask for help in recalling the membership of the GC office. I was at the SEC only from 1939 through June, 1944, and since I have never been an active securities practitioner, my contacts with the office outside my time have been few. I will do my best, but I note that of my contemporaries, Milt Freeman, Lou Loss, and Herb Cohn were there before me, and Milt, Lou, Milt Kroll, Aaron Levy, and Dave Ferber were there long after me. I'm sure you know all of them.

When I came, one Assistant GC supervised three unites, which were approximately interpretations, opinion writing, and proxy regulation. Two other Asst. GC's supervised enforcement and criminal reference. I was in the first groups, and my acquaintanceships and memories are best in that field. As you must know, opinion writing and proxies were split off, and enforcement and criminal reference were sent down to the operating divisions, leaving the renamed Solicitor's office as primarily an appellate litigation unit. I give this background because it may help some of the others mentioned to remember names, or to correct me if I erroneously remember a person who first came to the opinion-writing unit after it was split off from the GC office.

Our Asst. GC was Robert H. O'Brien, later a Commissioner, and later an officer of Paramount and of Metro-Goldwyn-Mayer. I saw him in New York about four years ago, but have not heard of him since.

Our three Supervising Attorneys were Milt Freeman, John F. Davis, and Allen Robinson. John became Solicitor, and later Clerk of the Supreme Court. So far as I know, he still lives in NW Washington or in the adjacent Maryland suburbs. I have not heard of Robinson since I left the SEC. Their three groups included the following:

Dave Ginsburg, now head of a Washington firm.

Meyer Feldman, Same.

Dan Posner, now in the Fried, Frank firm in NYC.

Charles Collins, I have not heard of him since I left the SEC.

Jerome Katzin, [address deleted]

Virginia Collins, later married to Harlan Dunscombe, NYC lawyer.

Francis Doolittle, later the widow of Lawrence Lesser, and probably still living in or around DC.

Henry Fischer, now of Pike and Fischer, Inc. [address deleted]

Robert Ginnane, who was GC of the Interstate Commerce Commission after World War II. I saw him in NYC about 1970, but have not heard of him since.

Raoul Berger, he received a lot of acclaim during the Watergate period for two constitutional law books written while a Senior Research Fellow at Harvard Law School. I have not heard of him since.

Samuel H. Levy was in the GC office before he transferred to the Reorganization Division. He is a retired partner of Wolf Block Schorr and Solis-Cohen in Philadelphia.

Morris L Forer. In my day at the SEC he was only in the Public Utilities Division, but I believe that he had been in the GC office, left the SEC, and then came back. His status is the same as Levy's.

Sigmund Timberg, Washington lawyer, was in the GC office for a short time.

Julian Meer, member of Steinberg, Meer and Luersen [address deleted]

Joe Levine, Washington lawyer.

John Logan O'Donnell, member of Olwine, Connelly, O'Donnell, etc., NYC.

Harold Kahen, member of a firm in NYC [address deleted]

Seymour Kleinman, member of Golenbuck and Barell, NYC.

Shelton Bernstein, perhaps practices in Los Angeles.

Leonard Ackerman, perhaps practices in Hawaii.

Theodore L Thau [address deleted]

Bernard Meltzer, professor at University of Chicago Law School.

Roger S. Foster, the second solicitor, lives in Virginia.

John Hollands, the draftsman of the Trust Indenture Act. I believe he lives in Buffalo. When I saw him in about 1972 he was executive secretary of some New York State Commission that was concerning itself with consumer credit, and may have been teaching at the law school of the State University of New York at Buffalo.

The other two Asst. GC's of my day were Robert Kline and O. John Rogge. Rogge is dead. I have not heard of Kline since he entered military service during WWII. The following are very fragmentary recollections of their staffs:

Clifford Woodrum and Hugh Wise entered military service in 1939, at the beginning of WWII. I have not heard of them since.

Mayer U. Newfield practices in Birmingham or Montgomery, Alabama. I believe that Milt Freeman would know.

J. Leonard Townsend was a trial lawyer on GC staff in 1939-40. I believe that he went to the Federal Reserve Board. I have not heard of him since.

The famous investigator team of Tim Callahan and Ed Jaegerman worked out of the GC office. Bill Moran might know where they are. Bill prosecuted Jaegerman after he went with a brokerage firm, Charles Pflaum(?) and Co.

I believe that Bill Moran was on the GC staff before he transferred to NYC and became Regional Administrator. He retired about five years ago.

Some more names from Bob O'Brien's groups:

Nathan Halpern was in the GC office for a while. He was for a long time president of a company which brought in athletic events, etc., into theatres by television.

Clark Byse, Professor at Harvard Law School.

Nathan Cohen, a federal judge in Chicago, was in the complaint section of the GC office.

Rose Freidin, who later married George Jaffin. They were both in the GC office.

Eugene Gressman, co-author of a book on the Supreme Court. Have not heard of him recently.

James Deere and Don Cummings. I have not heard of their whereabouts.

Richard Wels, NYC lawyer, I believe with Holzman Sheppard and Wise.

Walter P. North, former Associate GC [address deleted]

I have been surprised how many names came back to me, many after 40 years. Your reception will mark my leaving the SEC on June 30, 1944, 40 years earlier.

If more names come to me, I will write you again.

Cordially yours,  
Homer Kripke