#83-75/

## **University of Cincinnati**



Department of Accounting/Business Law

Mail Location #211 Cincinnati, Ohio 45221 Phone (513) 475-6876

April 17, 1984

Justice Harry A. Blackmun United States Supreme Court Supreme Court Building No. One First Street N.E. Washington D.C. 20543

Dear Justice Harry A. Blackmun;

## Re: S.E.C. v. Jerry T O'Brien

Please consider the enclosed manuscript entitled "A New Face For Federal Regulatory Investigations" in your deliberations on the questions of third party subpoena notice as presented by the S.E.C. v. Jerry T. O'Brien case. I have researched these and several related areas of regulatory investigative powers. This research suggests some compromises to solve the mutual harassment opportunities which are alleged by both investigators and targets. This paper was presented at the annual meeting of the Midwest Business Law Association on April 5, 1984 at the Palmer House Hotel in Chicago, Illinois. I would be pleased if you could use this research in any way that you deem appropriate.

Thank you for your consideration.

Sincerely,

John Watson Bagby

Assistant Professor of Business Law