

MEMORANDUM

August 26, 1987

TO : Chairman Ruder  
FROM : Peter Kiernan, Legislative Counsel  
Office of Legislative Affairs  
RE : Request for "courtesy" appointment from  
Congressman Joe Barton (R., Texas)

*Peter Kiernan*

We have been contacted by Leslie King of Congressman Barton's office with a request to arrange for the Congressman to meet with you after Labor Day for a "courtesy" appointment. Ms. King indicated that the meeting could be scheduled at your convenience either here at the Commission or at Mr. Barton's office.

Congressman Barton is a member of the Energy and Commerce Committee and, although not a member of either the Telecommunications Subcommittee or Oversight Subcommittee, has in the past made a special effort to know the SEC Chairman. \*/ The "courtesy" appointment suggested by the Congressman seems entirely appropriate. I would suggest that the usual protocol would be for you to visit his office and that Mary Middleton set up such an appointment for you through Ms. King. Is this agreeable to you?

YES

NO

Comment, if any

*Sept. 22 -  
10:00 - 10:15*

cc: Linda Fienberg  
Cecile Srodes  
Mary Middleton

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\*/ Chairman Shad had a similar courtesy appointment with Congressman Barton. Mr. Barton subsequently invited Mr. Shad (1) to a fundraiser here in Washington (which Mr. Shad attended), (2) to meet with a constituent who had a possible securities enforcement problem (we advised the Congressman's office that such a meeting was not appropriate and gave the constituent an SEC staff contact) and (3) to participate in a "fly around" series of meetings with the Congressman and his constituents in his home district in Texas (Mr. Shad declined based upon his imminent departure from Washington).