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-JR



TBliley@colliershannon.com
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To: Josephine B. Robinson/WHO/EOP@EOP
cc:
Subject: David Cavicke

*I want
this also
went
to Clay!*
John
11/2

Mr. Andrew Card
Chief of Staff to the President
1600 Pennsylvania Avenue
Washington, DC

Dear Andy,

I was calling you about Mr. David Cavicke, who was my Chief Counsel for Financial Services to recommend him for one of the Republican vacancies at the S.E.C.

There are many reasons to choose David Cavicke for one of the openings on the S.E.C., these include:

David is a solid conservative who will promote the President's agenda.

The S.E.C. is very hostile to Republicans and is controlled by the Administration, David has an encyclopedic knowledge of the SEC and the determination to help the chairman bring it under control.

David is effective at improving our standing with Wall Street and the Technology Community.

David is a solid conservative who was instrumental in enacting litigation reform over Clinton's veto.

He has been a leader for us in financial services since we took over Congress. He developed the most significant legislative changes to the financial markets since the 1930's.

He has also been attuned to working to improve Wall Street's relations with Republicans, and our standing relationships there.

He worked on the Transition for the President, and has been a strong supporter of President Bush.

He has strong support in the technology community in California.

He also has strong support from the accounting profession, Investment Banks, ECNs and Brokerage Firms.

He would be a terrific asset for the President at an agency that has

*Yes, Clay +
Dina
were copied.*
JR

Courtesy George W Bush Presidential Library

historically been very hostile to our goals and resistant to control by the Administration.

To put it simply, I think David is the best we have. I think the President should appoint him.

For your information, I am enclosing David's resume. It is without question David would make an excellent choice for the Bush Team. Please let me know if you have any questions. With kindest regards, I am

Sincerely,

Tom

(See attached file: CVword 2.doc)

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- CVword 2.doc

David L. Cavicke

(b)(6)

Experience **U.S. House of Representatives, Committee on Commerce, Washington, DC Finance Counsel, 1995-present**

Responsible for all House financial services and e-commerce legislation, Oversight of the Securities Exchange Commission and banking agencies; Principal counsel for the following enacted legislation:

- ***E-Sign*** (PL 106-229) - validates use of electronic signatures.
- ***Gramm-Leach-Bliley Act*** (PL 106-102) - repealed Glass-Steagall Act.
- ***Securities Litigation Reform Uniform Standards Act*** (PL 105-353) - extended Litigation Reform to state courts.
- ***National Securities Markets Improvement Act*** (PL 104-290) - eliminated duplicative regulation of securities offerings; reduced SEC fees by \$2 billion; provided for cost-benefit analysis of SEC rules.
- ***Securities Litigation Reform*** (PL 104-67) - reduced meritless class action lawsuits against public companies; established a safe-harbor for projections (only law enacted over presidential veto in the former administration).

Additional actions:

- Decimalization of Stock Exchanges; Oversaw SEC budget and managed SEC budget requests; Counsel to Committee for Trade matters relating to World Trade Organization, financial derivatives oversight and privatization of social security.

Bush - Cheney Transition

Policy Coordinator for the SEC and CFTC, December 2000 - January 2001

- Prepared analysis of midnight regulations at the SEC, including Reg. FD and the Auditor Independence Rules.
- Assessed political personnel at the SEC and CFTC and drew up a plan for staffing the Agencies.
- Prepared briefing material and an agenda for incoming Chairman of both Agencies.

Bush - Cheney Convention Volunteer

- Volunteered for Bush - Cheney Team in Philadelphia.

Milbank, Tweed, New York, NY, Associate, 1989-'93

Financing transactions, mergers and acquisitions and derivatives advice.

Supreme Court of Connecticut, Law Clerk, 1993-'94

Education

Stanford Law School, J.D., 1989

Editor, *Stanford Law Review*; President, *Federalist Society*

Oxford University, M.A., 1986

Columbia College, A.B., 1984