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SECHS
Updates

To: Members of the Advisory Council and the Commissioners' Council
 cc: Robert Kueppers
 Elisse Walter

From: Carla L. Rosati, CFRE

Date: December 30, 2004

Subject: SEC Historical Society – 2004 4th Quarter Report

Virtual Museum and Archive

- The virtual museum and archive had 8,825 visitors in 2004 (as of December 29th). Papers was the most visited section, followed by Online Programs, Oral Histories, the Timeline and Photos.
- To facilitate access to the materials in the virtual museum and archives, the Museum Committee has developed the concept of “galleries” on key subjects in SEC and/or securities history. Each gallery, which will include papers, photos, oral histories, online programs and links relating to the subject, will be accessed through the virtual museum’s home page. The first gallery developed in 2005 will be Joseph P. Kennedy and the Creation of the SEC, funded in part by a grant from The Joseph P. Kennedy, Jr. Foundation.
- Materials to be added to the virtual museum in 2005 will include:
 - papers contributed by Eugene Rotberg, including comment letters from 1968 relating to Rule 10b-10.
 - speeches and presentations by SEC Chairman Ray Garrett, Jr., contributed by Harvey Pitt and Nancy Garrett Worcester.
 - a 1992 interview with SEC Chief Accountant Andrew Barr.
 - the 1949-1960 SEC Annual Reports.

Programs and Partnerships

- Enclosed is the Society’s 2005 program and partnership schedule.

Development

- The Society received grants from more than 80 institutions in 2004, some made possible by Council members – many thanks! A final list will be available on www.sechistorical.org in early January.

Publications and Outreach

- The Highlights of 2004 Report, which is the narrative section of our Annual Report, will be published in January. The Annual Report, which will include the 2004 financial statement and complete list of individual and institution donors, will be published later this winter. Both reports will give special recognition to those individuals and institutions which have consistently given annual support to the Society since our founding.

- The Society will offer donors and friends the opportunity to receive the monthly "What's New" by email or by postcard, beginning in March.
- The CPA Journal will be celebrating its 75th anniversary in 2005 with a series of articles on historic topics in accounting during the year, which will utilize and give credit to materials in the virtual museum and archive. The CPA Journal will also provide a link to www.sechistorical.org.
- The International Association of Web Masters & Designers gave a "Golden Web Award" to www.sechistorical.org.
- National Public Radio interviewed Donald Ritchie, U.S. Senate historian, following his remarks on James Landis at the Society's presentation of a bust of former SEC Chairman Landis to the SEC for long-term display on December 8th.

Reminder

The Advisory Council will meet in Washington, D.C. on Tuesday, January 25th from 2:00 to 3:30 pm, with conference call service available. The agenda, materials and conference call information for the meeting will be sent to Advisory Council members by email in early January.

Members of the Commissioners' Council are welcome to join in the meeting; if you would like to attend, please email c.rosati@sechistorical.org.

If you have any questions or need additional information, please visit www.sechistorical.org for updated news about the Society or email c.rosati@sechistorical.org.

2005 Programs and Partnerships

Programs – on site and broadcast on www.sechistorical.org

6th Annual Meeting – “Crisis and Resolve: The SEC and the Securities Industry Remember September 11, 2001;” Thursday, June 9th, 4:00 – 6:30 pm EDT, Bear, Stearns & Co., New York City

Roundtable on Enforcement Remedies; Thursday, October 20th, 5:00 – 6:30 pm EST; in partnership with The New York University Center for Law & Business, New York City

Fireside Chats – broadcast on www.sechistorical.org

Shareholder Rights; Tuesday, February 15th – 3:00-4:00 pm EST

Executive Compensation; Tuesday, April 19th – 3:00 – 4:00 pm EDT

Regulation FD and Real-Time Disclosure; Tuesday, June 14th – 3:00 – 4:00 pm EDT

Cross-Border Regulation; Tuesday, September TBD – 3:00 - 4:00 pm EDT

Professional Responsibility; Tuesday, November 1st – 3:00 – 4:00 pm EST

Developments in the Mutual Fund Industry – broadcast on www.sechistorical.org

Recent Developments; Tuesday, March 1st – 11:00 am – 12:00 noon EST

Entry of Banks; Tuesday, March 8th – 2:00 – 3:00 pm EST

Entry of Insurance Companies; Tuesday, March 22nd – 2:00 – 3:00 pm EST

Development of Money Market Funds; Tuesday, March 29th – 2:00 – 3:00 pm EST

The Best of NERA II – broadcast on www.sechistorical.org (July TBD)

Partnerships

25th Annual Manuel F. Cohen Lecture, with The George Washington University Law School (March TBD)

6th Annual A.A. Sommer, Jr. Memorial Lecture, with Fordham University School of Law (November TBD)